

1 **SUBCHAPTER B. INSURANCE CARRIER CLAIM ELECTRONIC DATA INTERCHANGE**
2 **REPORTING TO THE DIVISION**
3

4 **TEXT.**

5 §124.100. Applicability.

6 (a) This subchapter applies to all insurance carriers as defined in Labor Code
7 §401.011(27). All insurance carriers are required to report information prescribed by the
8 commissioner under Labor Code §§401.024, 402.082, 411.012, 411.031, 411.032, and
9 411.033 for each workers' compensation claim. All insurance carriers are required to notify
10 injured employees and the division about claim actions as provided in §124.2 of this title
11 (concerning Insurance Carrier Notification Requirements).

12 (b) This subchapter is effective MMMM DD, 20YY. Insurance carriers and trading
13 partners must continue to submit claim EDI records to the division in the International
14 Association of Industrial Accident Boards and Commissions (IAIABC) Claims Electronic
15 Data Interchange (EDI) Release 1.0 standard before this effective date.

16
17 §124.101. Purpose. The purpose of this subchapter is to prescribe the reporting
18 requirements for information and data submitted to the division and adopt by reference
19 the implementation guide and specifications necessary for successful EDI transaction
20 processing. The reporting of information and data is necessary to maintain information
21 on every compensable injury and a repository for statistical information on workers' health
22 and safety as required by Labor Code §§402.084 and 411.032.

23
24 §124.102. Definitions. The following words and terms when used in this subchapter will
25 have the following meanings, unless the context clearly indicates otherwise:

1 (a) Application Acknowledgment Code--A code used to identify the accepted or
2 rejected status of the transaction being acknowledged.

3 (b) Claim EDI Record--The accurate data associated with a single claim reported in
4 a claim EDI transaction (first report of injury or subsequent report of injury) obtained from
5 all sources, including the report of incident or injury and the insurance carrier's claim file.

6 (c) Claim EDI Transmission--The data that is contained within the interchange
7 envelope.

8 (d) Division--The Texas Department of Insurance, Division of Workers'
9 Compensation or its data collection agent.

10 (e) EDI--Electronic data interchange.

11 (f) Edits Table--A table containing the edits applied to Texas' first report of injury
12 and subsequent report of injury records.

13 (g) Element Requirement Table--A table containing data elements used in Texas'
14 first report of injury and subsequent report of injury record layouts defining required and
15 conditional data elements and how data edits apply to the elements.

16 (h) Event Table--A table containing the reportable claim events for Texas' first
17 report of injury and subsequent report of injury records and timeframes for reporting
18 the information.

19 (i) Insurance Carrier Claim Number--An identifier that distinguishes a specific
20 claim within an insurance carrier's claim processing system and is used throughout the
21 life of the claim.

22 (j) IAIABC--The International Association of Industrial Accident Boards and
23 Commissions.

1 (j) Person--A person, partnership, corporation, hospital district, insurance carrier,
2 organization, business trust, estate trust, association, limited liability company, limited
3 liability partnership, or other entity. This term does not include an injured employee.

4 (k) Trading Partner--A person entering into an agreement with the insurance carrier
5 to format electronic data for transmission to the division, transmit electronic data to the
6 division, and respond to any technical issues related to the contents or structure of an EDI
7 file.

8
9 §124.103. Reporting Standards.

10 (a) Except as provided in this subchapter, the commissioner adopts by reference
11 the IAIABC EDI Implementation Guide for Claims, Release 3.1.X, dated MMMM DD, 20YY,
12 published by the IAIABC.

13 (b) The commissioner adopts by reference the:

14 (1) Texas Claim EDI Release 3.1.X Implementation Guide, Version 1.0, dated
15 MMMM DD, 20YY;

16 (2) Texas Claim EDI Release 3.1.X Data Element Requirement Table, Version
17 1.0, dated MMMM DD, 20YY;

18 (3) Texas EDI Claim Release 3.1.X Data Element Edits Table, Version 1.0,
19 dated MMMM DD, 20YY; and

20 (4) Texas Claim EDI Release 3.1.X Event Table, Version 1.0, dated MMMM
21 DD, 20YY. The Texas Claims EDI Release 3.1.X Implementation Guide and the tables are
22 published by the division.

23 (c) The adopted division tables may be found on the division's website at
24 www.tdi.texas.gov/wc/edi/index.html.

1 (d) In the event of a conflict between the IAIABC Guide and the Labor Code or
2 division rules, the Labor Code or division rules will prevail.

3

4 §124.104. Reporting Requirements.

5 (a) Insurance carriers must submit timely and accurate claim EDI records to the
6 division. For the purpose of this section, a claim EDI record is considered accurately
7 submitted when the record:

8 (1) receives an accepted Application Acknowledgment Code;

9 (2) contains accurate claim EDI data, which may be obtained from all
10 sources, including the report of incident or injury and the insurance carrier's claim file; and

11 (3) to the extent supported by the format, contains all data elements
12 necessary to identify activity on a claim.

13 (b) Insurance carriers are responsible for correcting and resubmitting rejected claim
14 EDI records within 30 days of the action that triggered the reporting requirement. The
15 insurance carrier's receipt of a rejection does not modify, extend, or otherwise change the
16 date the transaction is required to be reported to the division. The resubmitted claim EDI
17 record must contain the same insurance carrier claim number as the previously rejected
18 claim EDI record.

19

20 §124.105. Records Required to be Reported.

21 (a) Insurance carriers must submit claim EDI records when the insurance carrier:

22 (1) takes action on or events occur in a claim as described in §124.2 of this
23 title (concerning Insurance Carrier Notification Requirements);

24 (2) corrects division-identified errors in a previously accepted electronic
25 record as provided in §124.104(b) of this title (concerning Reporting Requirements);

1 (3) corrects insurance carrier-identified errors in a previously accepted
2 electronic record as provided in §124.2(b)(4) of this title;

3 (4) discovers that a claim EDI record should not have been submitted to the
4 division, and the claim EDI record had previously been accepted by the division; or

5 (5) receives a request from the division for claim EDI records.

6 (b) Regardless of the Application Acknowledgment Code returned in an
7 acknowledgment, claim EDI records are not considered received by the division if the
8 claim EDI record:

9 (1) contains data, which does not accurately reflect the code value or actions
10 taken when the insurance carrier processed information or took action on the claim; or

11 (2) fails to contain a conditional data element and the mandatory trigger
12 condition existed at the time the insurance carrier took action on the claim.

13 (c) Claim EDI records submitted in the test environment are not considered
14 received and do not comply with the reporting requirements of this section.

15 (d) Claims with a date of injury on or after January 1, 1991, must be reported in
16 accordance with the requirements of this chapter (concerning Insurance Carriers: Notices,
17 Payments, and Reporting).

18
19 §124.106. Records Excluded from Reporting. Insurance carriers must not report claim EDI
20 records for:

21 (a) claims where the jurisdiction state is not Texas;

22 (b) claims that do not meet the requirements of §124.2(b);

23 (c) claims involving benefits payable under federal workers' compensation laws;

24 and

25 (d) claims with dates of injury before January 1, 1991.

1

2 §124.107. State Specific Requirements.

3 (a) Insurance carriers must submit claim EDI transactions according to the:

4 (1) IAIABC EDI Implementation Guide for Claims, Release 3.1.X, dated
5 MMMM DD, 20YY;

6 (2) Texas Claim EDI Release 3.1.X Implementation Guide, Version 1.0, dated
7 MMMM DD, 20YY;

8 (3) Texas Claim EDI Release 3.1.X Data Element Requirement Table, Version
9 1.0, dated MMMM DD, 20YY;

10 (4) Texas EDI Claim Release 3.1.X Data Element Edits Table, Version 1.0,
11 dated MMMM DD, 20YY; and

12 (5) Texas Claim EDI Release 3.1.X Event Table, Version 1.0, dated MMMM
13 DD, 20YY.

14 (b) In addition to the requirements adopted under §124.103 of this subchapter
15 (concerning Reporting Standards), when the injured employee's social security number is
16 unknown for reporting claim EDI transactions, it must be reported in accordance with
17 Texas EDI Claim Release 3.1.X Data Element Table, Version 1.0, dated MMMM DD, 20YY,
18 as adopted in §124.103 of this title (concerning Reporting Standards).

19

20 §124.108. Insurance Carrier EDI Compliance Coordinator and Trading Partners.

21 (a) Insurance carriers may submit claim EDI records directly to the division or
22 contract with an external trading partner to submit the records on the insurance carrier's
23 behalf.

24 (b) Each insurance carrier, including those using external trading partners, must
25 designate one person to the division as the EDI compliance coordinator and provide the

1 person's name, working title, mailing address, email address, and telephone number in
2 the form and manner prescribed by the division. The EDI compliance coordinator must:

3 (1) be an employee of the insurance carrier with knowledge and experience
4 in EDI reporting, who is responsible for EDI reporting;

5 (2) receive and appropriately disperse data reporting information received
6 from the division; and

7 (3) serve as the central compliance control for data reporting under this
8 subchapter.

9 (c) At least five working days before sending its first transaction to the division
10 under this subchapter, the insurance carrier must send a notice to the division. The notice
11 must be in the form and manner prescribed by the division. The notice must include the
12 name of the insurance carrier, the insurance carrier's FEIN, the insurance carrier's TXCOMP
13 customer number, the name of the trading partners authorized to conduct claim EDI
14 transactions on behalf of the insurance carrier, the FEIN of the trading partners, and the
15 EDI compliance coordinator's signature. The insurance carrier must report changes within
16 five working days of any amendment to data sharing agreements, including adding or
17 removing any trading partners or changing the EDI compliance coordinator. Failure to
18 timely submit updated information may result in the rejection of claim EDI records.

19 (d) At least five working days before sending its first test transaction to the division
20 under this subchapter, the insurance carrier or trading partner sending the claim EDI
21 transmission must send a notice to the division. The notice must be in the form and
22 manner prescribed by the division. The notice must include the entity's name, FEIN, nine-
23 digit postal code, address, and the technical contact's name, address, phone number, and
24 email address. The insurance carrier or trading partner must report changes within five
25 working days of any amendment to the information required to be reported.

1 (e) Insurance carriers and trading partners must successfully complete claim EDI
2 release 3.1.X testing before transmitting any production claim EDI release 3.1.X data.
3 Trading partners must receive approval to submit data for at least one insurance carrier
4 before initiating the testing process. Insurance carriers and trading partners must submit
5 each transaction type during the testing process to ensure that it can be successfully
6 processed by the division. The division will not approve an insurance carrier or trading
7 partner for production submissions until the insurance carrier or trading partner has met
8 the requirements for testing as described in the Texas Claim EDI Release 3.1.X
9 Implementation Guide.

10 (f) Once an insurance carrier or trading partner has met the requirements of
11 subsection (e), the insurance carrier or trading partner is approved to report claim EDI
12 data to the division. Only approved insurance carriers or trading partners may report claim
13 EDI data to the division.

14 (g) The division may suspend the ability for an insurance carrier or trading partner
15 to report claim EDI if it does not meet the requirements for an approved trading partner
16 as described in the Texas Claim EDI Release 3.1.X Implementation Guide.

17 (h) Loss of approval to report claim EDI does not relieve an insurance carrier of the
18 duty to report claim information or notices to the division under §124.2 of this title
19 (concerning Carrier Reporting and Notification Requirements).

20 (i) Insurance carriers are responsible for the acts or omissions of their trading
21 partners. The insurance carrier commits an administrative violation if the insurance carrier
22 or its trading partner fails to timely or accurately submit claim EDI records.

23 (j) An insurance carrier must provide the EDI compliance coordinator's contact
24 information required by this subsection to the division no later than MMMM DD, 20YY.
25 Except as otherwise provided by this subsection, an insurance company that obtains a

- 1 certificate of authority to write workers' compensation insurance in Texas after MMMM
- 2 DD, 20YY, must provide the EDI compliance coordinator's contact information required
- 3 by subsection (b) to the division, no later than the 30th day after the insurance company's
- 4 certificate of authority becomes effective.