

No. **2023-8304**

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date: 10/26/2023**

**Subject Considered:**

Alliant Insurance Services Houston LLC  
PO Box 120670  
San Diego, California 92112

Consent Order  
TDI Enforcement File No. 32789

**General remarks and official action taken:**

This is a consent order with Alliant Insurance Services Houston LLC for failing to timely file surplus lines policies and related documents. Alliant Insurance Services Houston LLC has agreed to pay an administrative penalty of \$14,725 for these violations.

**Waiver**

Alliant Insurance Services Houston LLC acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Alliant Insurance Services Houston LLC waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**Findings of Fact**

1. Alliant Insurance Services Houston LLC, license number 15928, holds a surplus lines agency license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued or

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- b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2022, Alliant Insurance Services Houston LLC filed surplus lines policies and related documents late.
4. The commissioner previously disciplined Alliant Insurance Services Houston LLC for violating TEX. INS. CODE § 981.105(a) in Official Order No. 12-0106, entered on February 6, 2012.
5. The commissioner previously disciplined Alliant Insurance Services Houston LLC for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2017-5280, entered on November 14, 2017.
6. The commissioner previously disciplined Alliant Insurance Services Houston LLC for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2018-5749, entered on December 12, 2018.
7. The commissioner previously disciplined Alliant Insurance Services Houston LLC for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2022-7199, entered on February 1, 2022.

## Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Alliant Insurance Services Houston LLC has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.

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4. Alliant Insurance Services Houston LLC violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

## Order

It is ordered that Alliant Insurance Services Houston LLC must pay an administrative penalty of \$14,725 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

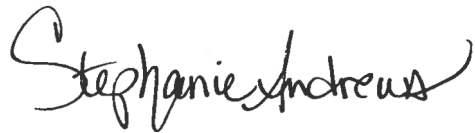
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Cassie Brown  
Commissioner of Insurance

Recommended and reviewed by:

  
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Leah Gillum, Deputy Commissioner  
Fraud and Enforcement Division

  
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Stephanie Andrews, Attorney  
Enforcement

Affidavit

THE STATE OF Missouri §  
§  
COUNTY OF Cass §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Dennis F. Burden. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

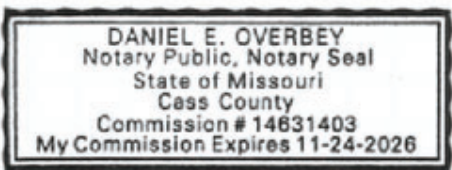
I hold the office of Senior Vice President and am the authorized representative of Alliant Insurance Services Houston LLC. I am duly authorized by the organization to execute this statement.

Alliant Insurance Services Houston LLC has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Dennis F. Burden  
Affiant

SWORN TO AND SUBSCRIBED before me on Sept. 20, 2023.

(NOTARY SEAL)



Daniel E. Overbey  
Signature of Notary Public

Daniel E. Overbey  
Printed Name of Notary Public